

3762

PATENT

#4  
#1  
3-28-02



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 12, 2002

Kevin G. Rooney Reg. No. 36,330

3/12/02

Date

RECEIVED  
MAR 26 2002  
TECHNOLOGY CENTER R6700

Applicants: Mark Ortiz et al.  
Serial No: 09/677,981  
Filing Date: October 3, 2000  
Art Unit: 3762  
Examiner: Scott M. Getzow  
Title: IMPLANTABLE HEART ASSIST DEVICES AND METHODS  
Atty Docket: SPEN-08

Cincinnati, Ohio 45202

March 12, 2002

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in

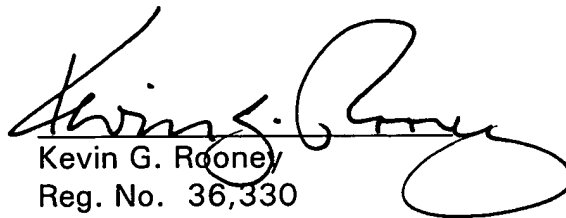
connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

The Examiner is urged to consider the cited document and to make an independent evaluation of its teachings and materiality.

Applicants believe that this communication is being mailed before a first action on the merits and, therefore, no fee is due. If this is not the case, the Commissioner is authorized to charge deposit account 23-3000 for any required fees.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.



Kevin G. Rooney  
Reg. No. 36,330

2700 Carew Tower  
441 Vine Street  
Cincinnati, OH 45202  
(513) 241-2324